



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Confirmation No.: 6270

HENDRICKS

Art Unit: 2178

Application No.: 09/335,268

Examiner: C. Paula

Filed: June 17, 1999

Docket No.: 026880-00020

For: SET TOP TERMINAL FOR USE WITH AN ELECTRONIC BOOK VIEWER

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: January 31, 2007

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Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- c. A check in the amount of \$180.00 in payment of the fee under 37

§1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.

3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
4. The references were cited in corresponding foreign applications and related U.S. and foreign applications.
5. Copies of the foreign patent documents and the publications are on the attached CD.

In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty. Docket No. 026880-00020.

Respectfully submitted,



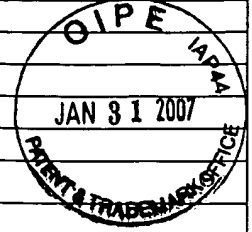
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Substitute for form 1449A/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a</b>			Application Number	09/335,268	
			Filing Date	June 17, 1999	
			First Named Inventor	John S. HENDRICKS	
			Art Unit	2178	
			Examiner Name	C. Paula	
Sheet	1	of	28	Attorney Docket Number	026880-00020

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		3,869,873		n/a	Ringstad	
		3,891,792		n/a	Kimura	
		3,978,470		n/a	McGuire	
		4,071,697		01-1978	Bushnell et al.	
		4,197,590		4/8/1980	Sukonick, et al.	
		4,251,671		n/a	Reed et al.	
		4,298,793		11/1981	Melis et al.	
		4,361,848		11/30/1982	Poigner et al.	
		4,381,522		4/26/1983	Lambert	
		4,398,216		n/a	Field et al.	
		4,405,829		09-1983	Rivest et al.	
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		4,455,570		6/19/1984	Saeki et al.	
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		4,533,948		8/6/1985	McNamara et al.	
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		4,621,282		n/a	Ahem	
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		4,668,218		5/26/1987	Virtanen	
		4,668,246		n/a	Eilers et al.	
		4,673,976			Wreford-Howard	

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code. <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.

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		4,688,218		8/18/1987	Blineau et al.	
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		4,691,109		10/2/1990	Tanaka	
		4,694,483		9/1987	Cheung	
		4,694,490		9/15/1987	Harvey et al.	
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		4,706,121		n/a	Young	
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		4,724,491		2/9/1988	Lambert	
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		4,885,803		n/a	Hermann et al.	
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		4,965,819		10-1990	Kannes, Deno	

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				Examiner Name	C. Paula
Sheet	3	of	28	Attorney Docket Number	026880-00020

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		4,965,825		10/23/1990	Harvey, et al.	
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		4,997,455		n/a	Young	
		5,001,554		3/19/1991	Johnson et al.	
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		5,010,499		04-1991	Yee, Keen Y.	
		5,014,125		5/7/1991	Pocach et al.	
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		5,056,138		n/a	Tyson, Sr.	
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		5,124,980		6/23/1992	Maki	
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		5,235,419		8/10/1993	Krause et al.	
		5,235,619		8/1993	Beyers et al.	

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		5,282,028		n/a	Johnson et al.	
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		5,291,554			Morales	
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		5,329,590		7/12/1994	Pond	
		5,339,091		08-1994	Yamazaki et al.	
		5,339,239		8/16/1994	Manabe et al.	
		5,339,315		8/16/1994	Maeda, et al.	
		5,341,166		8/23/1994	Garr, et al.	
		5,341,426		08/23/1994	Barney et al.	

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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				Application Number	09/335,268	
				Filing Date	June 17, 1999	
				First Named Inventor	John S. HENDRICKS	
				Art Unit	2178	
				Examiner Name	C. Paula	
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<b>U.S. PATENT DOCUMENTS</b>						
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				Art Unit	2178	
				Examiner Name	C. Paula	
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				Art Unit	2178	
				Examiner Name	C. Paula	
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b> Form PTO/SB/08a			<b>Complete if Known</b>			
			Application Number		09/335,268	
			Filing Date		June 17, 1999	
			First Named Inventor		John S. HENDRICKS	
			Art Unit		2178	
			Examiner Name		C. Paula	
Sheet	13	of	28	Attorney Docket Number	026880-00020	

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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				Filing Date	June 17, 1999
				First Named Inventor	HENDRICKS
				Art Unit	2178
				Examiner Name	C. Paula
Sheet	14	of	28	Attorney Docket Number	026880-00020

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				Filing Date	June 17, 1999
				First Named Inventor	HENDRICKS
				Art Unit	2178
				Examiner Name	C. Paula
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Examiner Initials*	Cite No. <sup>1</sup>	Country Code/Foreign Patent Document	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a</b>			Application Number	09/335,268	
			Filing Date	June 17, 1999	
			First Named Inventor	HENDRICKS	
			Art Unit	2178	
			Examiner Name	C. Paula	
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			Filing Date	June 17, 1999	
			First Named Inventor	HENDRICKS	
			Art Unit	2178	
			Examiner Name	C. Paula	
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				Filing Date	June 17, 1999
				First Named Inventor	HENDRICKS
				Art Unit	2178
				Examiner Name	C. Paula
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a</b>			Application Number	09/722,626	
			Filing Date	November 28, 2000	
			First Named Inventor	HENDRICKS	
			Art Unit	2623	
			Examiner Name	Jason P. Salce	
Sheet	19	of	28	Attorney Docket Number	026880-00038

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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			First Named Inventor	HENDRICKS	
			Art Unit	2623	
			Examiner Name	Jason P. Salce	
Sheet	20	of	28	Attorney Docket Number	026880-00038

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		<p>"Reading and Writing the Electronic Book," <i>Computer</i>; U.S. IEEE Computer Society, Long Beach, Calif., U.S. Vol. 18, No. 10, 1 October 1985</p> <p>"Subscription Teletext for Value Added Services", Dr. G.T. Sharpless, IEEE Transactions on Consumer Electronics, Aug. 1985, No. 3, p. 283</p> <p>"The Internet bookstore opens for business", Medford: Jul/Aug 1994. Vol. 11, Iss. 4; p. 21 (1 page)</p> <p>"Using an ER Query and Update Interface for Rapid Prototyping of Hypertext Systems," Czejdo, B.; Proceedings of the Annual Hawaii International Conference on System Sciences; 1 Jan. 1990</p> <p>A Store-and-Forward Architecture for Video-on-Demand Service</p> <p>A Survey and Classification of Hypertext Documentation Systems, IEEE Transaction on Professional Communication</p> <p>A.D. Gelman, et al.; A Store-And-Forward Architecture For Video-On-Demand Service; ICC 91 Conf.; 6/23-26/91; pp. 842-846</p> <p>A6,034,680</p> <p>Alcatel Teletra: Markets and Products Overview</p> <p>Alexander Felman, et al., "An Architecture For Interactive Applications", May 1993, pp. 848-852</p> <p>An Interactive Lesson . . .</p> <p>An interactive lesson in the interactive course series, August 1996</p> <p>An Interactive Videotex System for Two-Way CATV Networks</p> <p><i>Applied Cryptography Protocols, Algorithms, and Source Code in C</i> (pp. 34-44); Schneier, Bruce; Pub. 199 by John Wiley &amp; Sons</p>	
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		<p>Azuma J.: "Creating Educational Web Sites", IEE Communications Magazine, U.S. IEEE Service Center, Piscataway, N.J., Vol. 37, No. 3, March 1999 (1999-03), pages 109-113</p> <p>Barnes, "10 Minute Guide to Windows 3.1.", Alpha Books, 1992, pgs. 60-64</p> <p>Basch, Reva, "Books Online: Visions, Plans, and Perspectives for Electronic Text", Online. Medford: Jul 1991. Vol. 15, Iss. 4; 13 (11 pages)</p> <p>Bestler, "Flexible Data Structure and Interface Rituals for Rapid Development of OSD Applications", pgs. 223-236, June 1993</p> <p>Biber, et al.; Fourth generation hypermedia: some missing links for the World Wide Web; July 1997; Pages 31-65</p> <p>Bogdan Czejdo, "Using an ER Query and Update Interface for Rapid Prototyping of Hypertext Systems", January 1990, pp. 227-236</p> <p>Boltuck et al.; Prototyping an Interactive Electronic Book System Using an Object-Oriented Approach; August 1988; Pages 1770190</p> <p><i>Books Online . . .</i>; Basch; July 1991</p> <p>Boom, "An Interactive Videotext System", pgs. 397-401, Nov/Dec 1986</p> <p>Boyle C. et al.: "A Survey and Classification of Hypertext Documentation Systems", IEE Transactions on Professional Communications, US, IEE Inc., New York, Vol. 35, No. 2, 1 June 1992, pages 98-111</p> <p>Broering, "The Electronic Library and IAIMS at Georgetown University", Policy Issues in Information and Communication Techniques in Medical Applications, 1988. Symposium Record Sept. 29-30, 1988 Page(s): 27-29</p>	
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		<p>Dr. G. T. Sharpless, "IEE Transactions on Consumer Electronics, Vol. CE-31, No. 3, August 1985, pages 283-289</p> <p><i>Electronic Books as . . .</i>; Valauskas; Auust 1993 Electronic Dictionary Pronoucnes..., Speech Technology</p> <p>Enabling the Book Metaphor, IEEE Transactions on Professional Communication</p> <p>Endo et al., "Electronic Book in 3.5 Floppy Disk", Consumer Electronics, 1994. Digest of Technical Papers, IEEE 1994 International Conference on June 21-23, 1994 Page(s): 316-317</p> <p>Enhancing Teaching <i>Flexibl Data Structures and Interface Rituals for Rapid Development of OSD Applications</i>, Caitlin Bestler, 93 NCTA Tech. Papers, pp. 223-236; June 6, 1993</p> <p>Fourth Generation Hypermedia. . . , Int'l Journal of Human-Computer Sciences Studies</p> <p>Fox, et al., Users, user interfaces, and objects: Envision, a Digital Library, September 1993, Journal of the American Society for Information Science, vol. 44, issue 8, page 480</p> <p>Für Geschlossene <i>Fur Geschlossene</i>; Funkschau; August 25, 1989</p> <p>Gelman, et al., "An Architecture For Interactive Applications", May 1993, pages 848-852</p> <p>GOLDBERG M W ET AL: "World Wide Web - course tool: An environment for building WWW-based courses" COMPUTER NETWORKS AND ISDN SYSTEMS, NL, NORTH HOLLAND PUBLISHING. AMSTERDAM, vol. 28, no. 11, 1 May 1996</p>	
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		<p>Teaching with the Internet</p> <p><i>Technology's Impact on . . .</i>; Rawlins; November 21, 1991</p> <p>Telman, et al.; A Store-and-Forward Architecture for Video-on-Demand Service; 1991; Pages 842-846</p> <p>Terrestrial Data. . .</p> <p>Terri Herron; Teaching with the Internet; 1998; Pages 217-222</p> <p><i>The Internet Bookstore . . .</i>; July/Aug 1994</p> <p>Thyra Rauch, et al., "Enabling the book metaphor for the World Wide Web: Disseminating on-line information as dynami[c] Web documents", June 1997, pp. 111-128</p> <p>Two Innovatice New Consumer Products from SVI</p> <p>Using an ER Query ad Uplate Interface. . ., Proceedings of the Annual Hawaii</p> <p>Valauskas, Edward J, "Electronic books as databases", Database. Aug 1993. Vol. 16, Iss. 4; p. 84 (3 pages)</p> <p>Van Den Boom, "An Interactive Videotex System for Two-Way CATV Networks", vol. 40, no. 6, pages 397-401, December 1986</p> <p>Waite Group Press; AN Interactive Lesson in the Interactive Course Series, 1996</p> <p><i>World Wide Web - Course Tool</i>, Goldberg, M.W., et al., May, 1996</p> <p>Xpand your TV's Channel Capability</p> <p>YANKELOVICH N ET AL: "READING AND WRITING THE ELECTRONIC BOOK" COMPUTER, US, IEEE COMPUTER SOCIETY. LONG BEACH, CA, US, vol. 18, no. 10</p>	
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